

Global Environment Facility Introduction Seminar



Operations

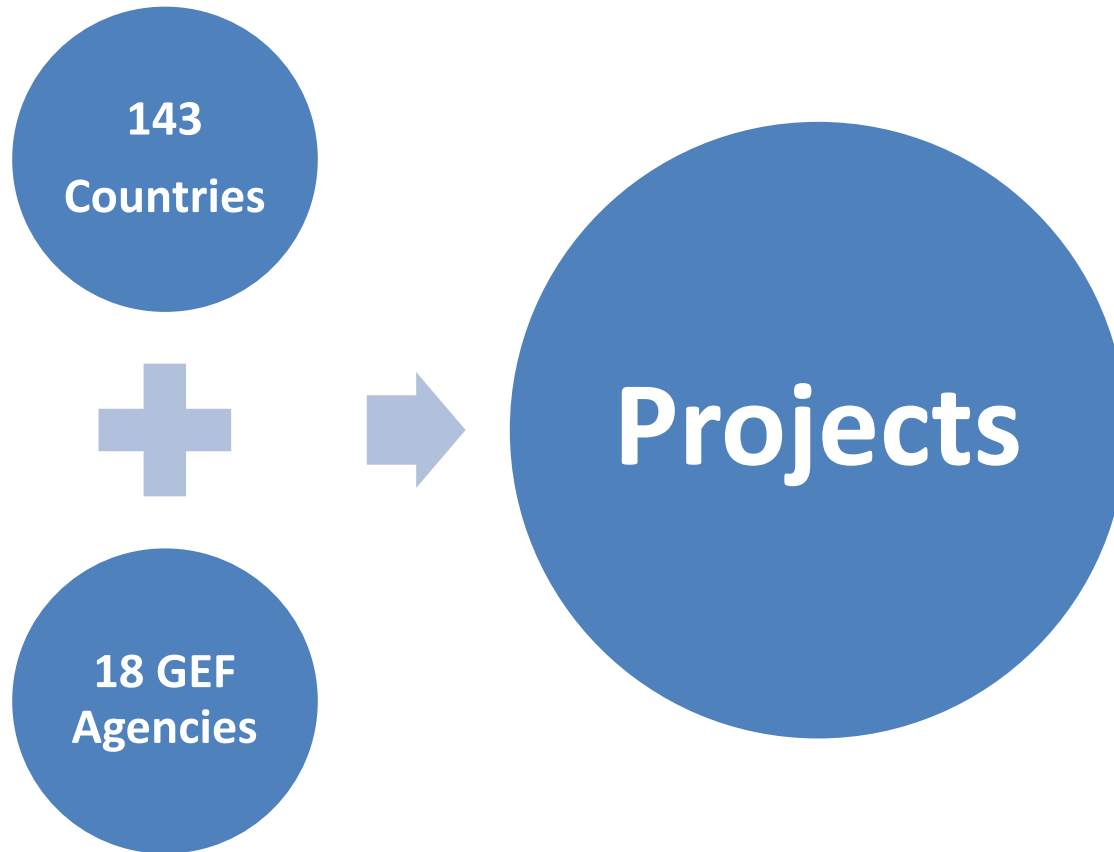
November 30th – December 2nd, 2016

Operations

- To provide effective and consistent day to day operational support to the GEFSEC and GEF Agencies on project and program cycle management



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- Each GEF country member has designated an officer known as **GEF Operational Focal Point (OFP)** who acts as the principal contact point for GEF activities in the country.
- The OFP decides which GEF Agency would be the best to develop and implement the project idea
- The GEF Agency prepares the project at the **request of and in consultation with** relevant country institutions, and other relevant partners → GEF OFP Endorses projects.



- Identify a problem / situation whose solution / intervention generates global environmental benefits.
- The project has to be consistent with the country's national priorities and programs.
- The project has to address one or more of the GEF focal area strategies (BD, CB, CC, LD, IW, Chemicals and Waste), or cross-cutting issues (SFM).



GEF Project and Program Cycle Policy



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Project Types (modalities)

Gef Project: set of activities that promote the achievement of the purposes of the GEF.

- Full-sized Projects (FSP): more than two million US dollars.
- Medium-sized Projects (MSP): up to two million US dollars.
- Enabling Activities (EA): preparation of a plan, strategy or report to fulfill commitments under a Convention
- Programs: longer-term and strategic arrangement of individual yet interlinked projects that aim at achieving large-scale impacts on the global environment.





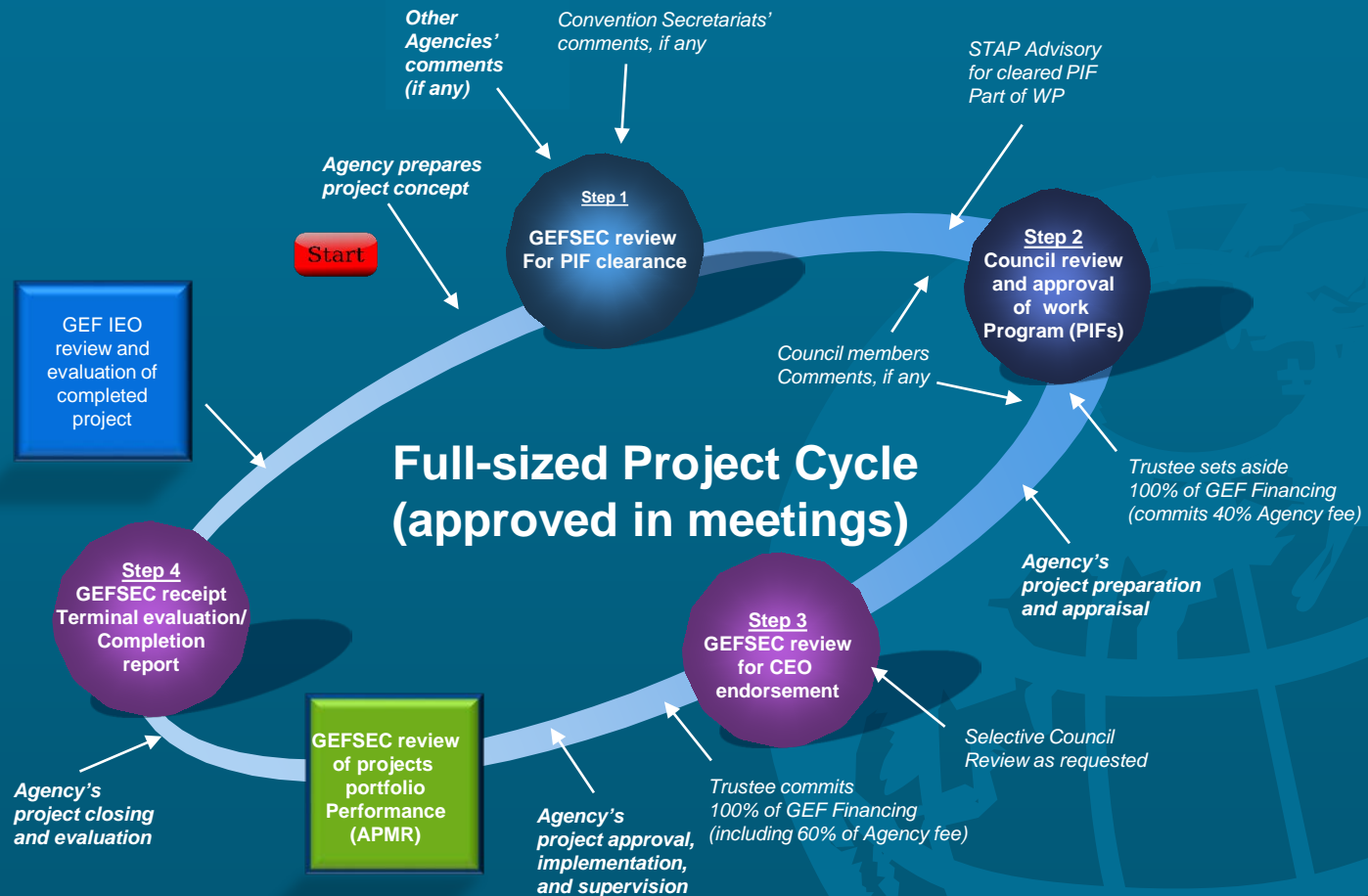
Definitions

- **Project Financing:** resources provided to countries through a GEF Agency to implement the project's activities.
- **Project Preparation Grant (PPG):** resources provided to countries through a GEF Agency to support the preparation of a Full-sized Project (FSP) or Medium-sized Project (MSP).
- **Agency Fee:** resources provided to the GEF Agencies to compensate them for the preparation and implementation of a GEF project.
- **Total GEF Resources:** total amount of funding provided to countries and Agencies to support the preparation and implementation of a GEF Project or Program. This amount includes the Project Financing, the PPG and associated Agency Fees.

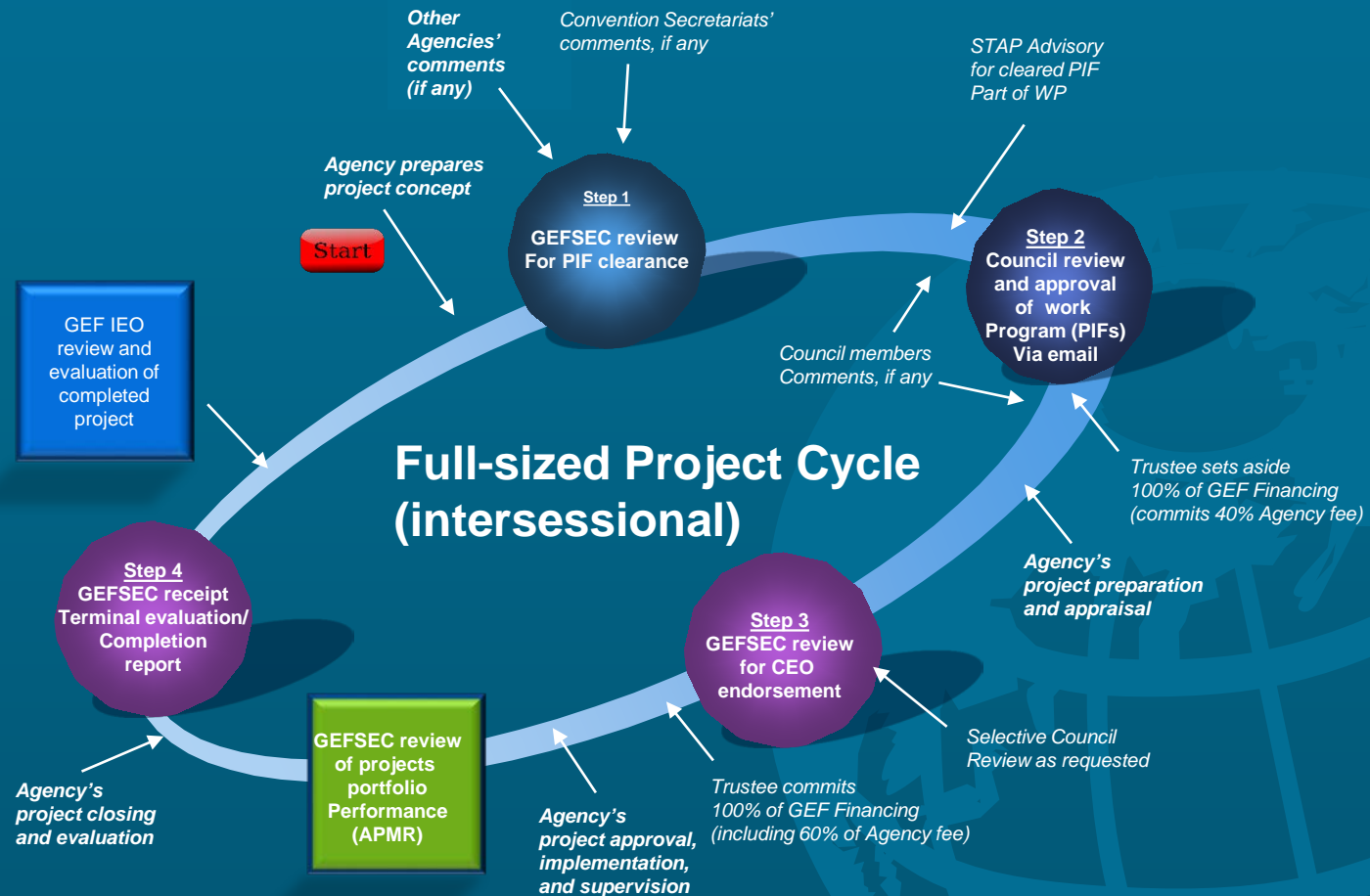


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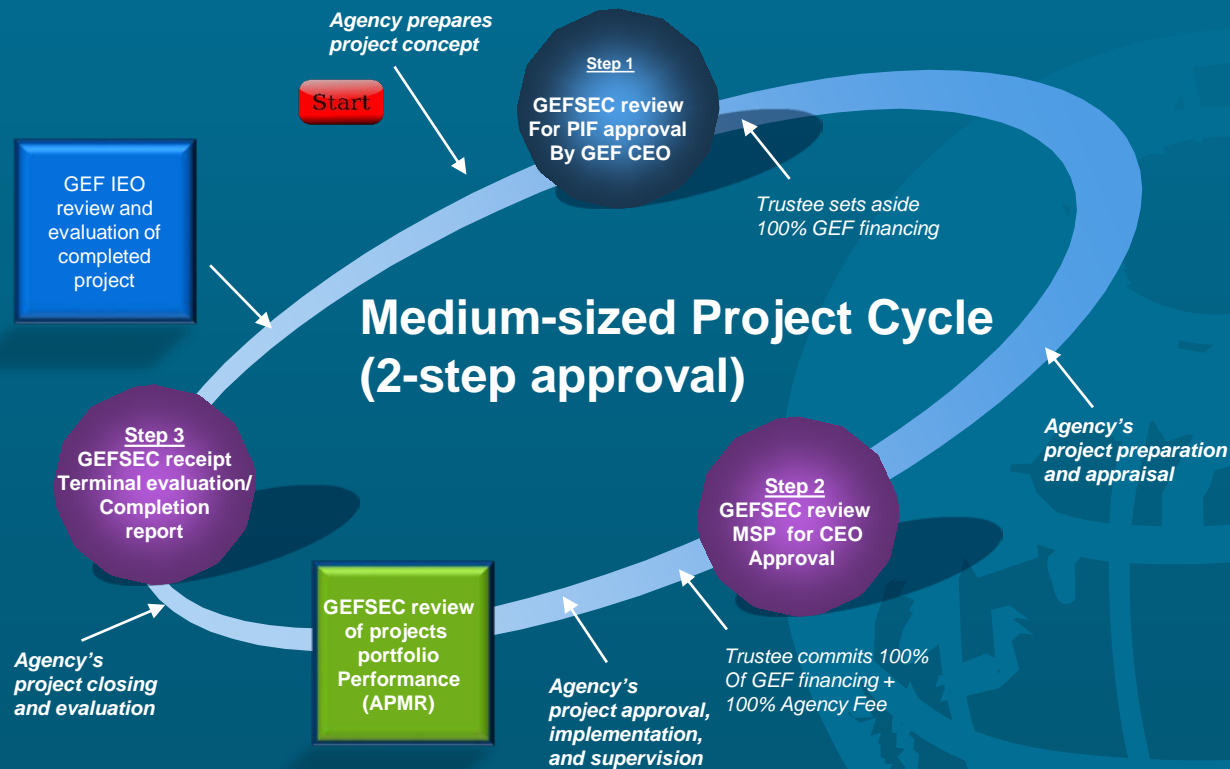
Full-sized Project



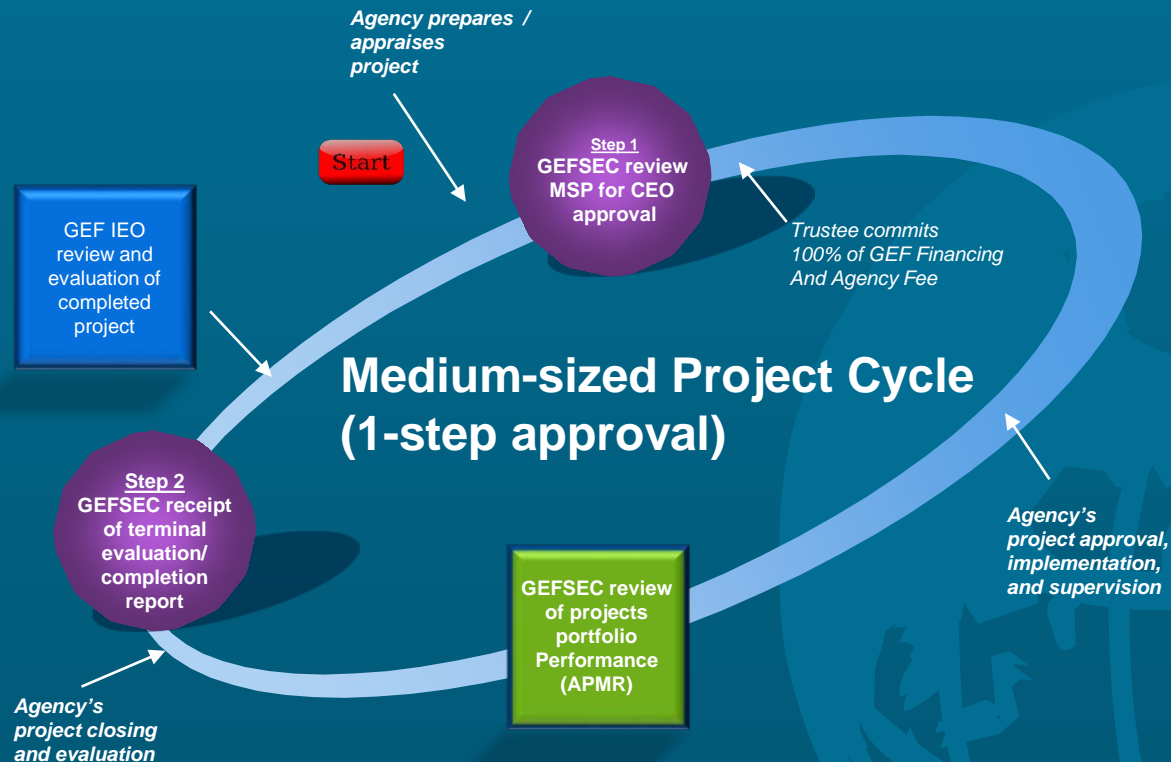
Full-sized Project



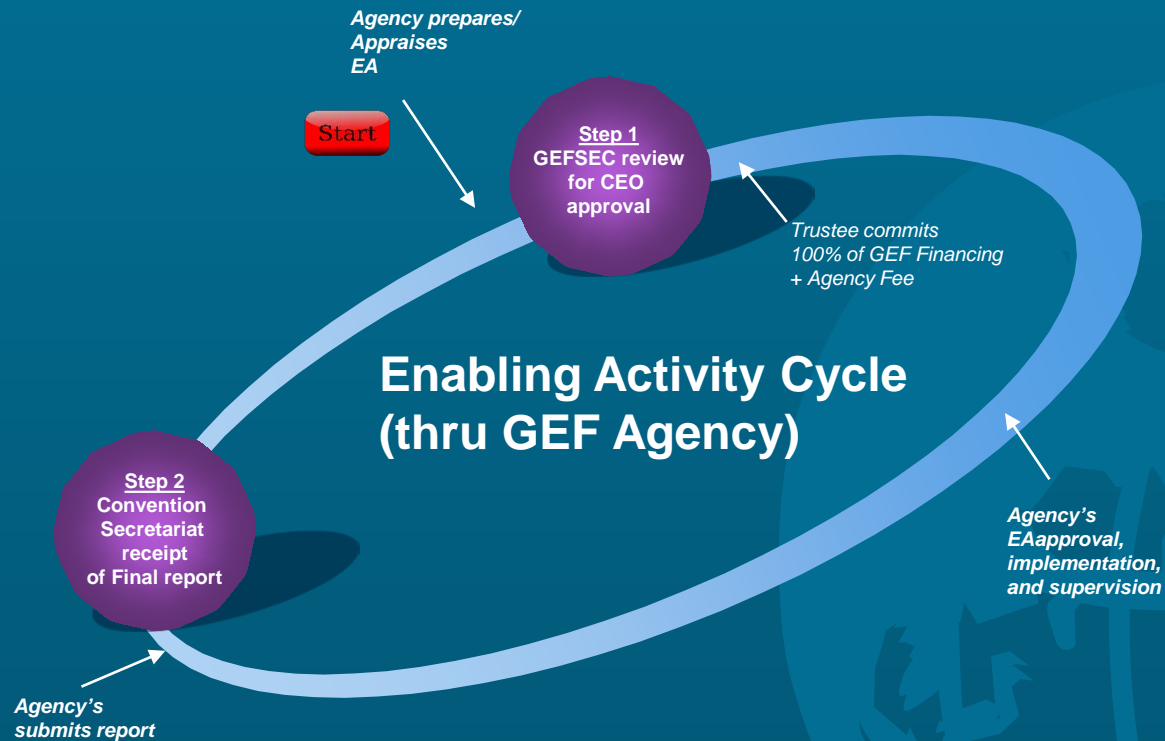
Medium-sized Project (1)



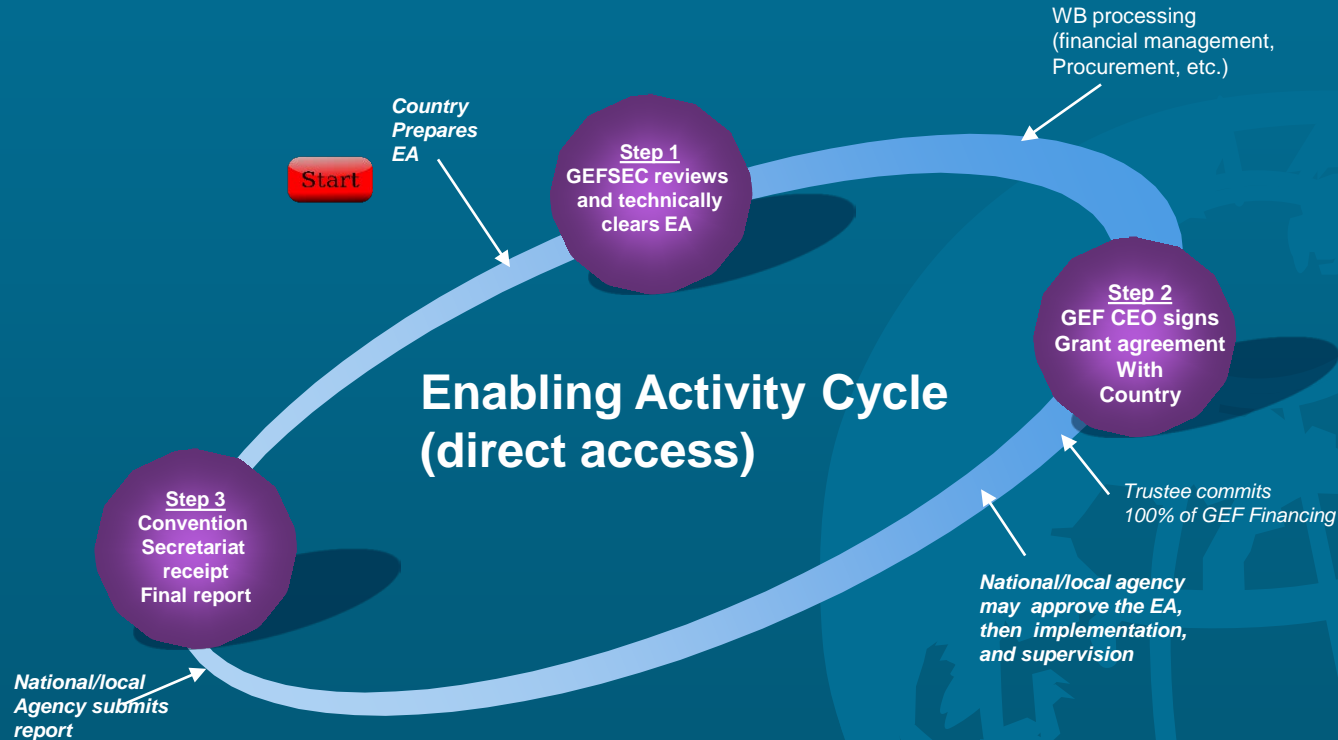
Medium-sized Project (2)



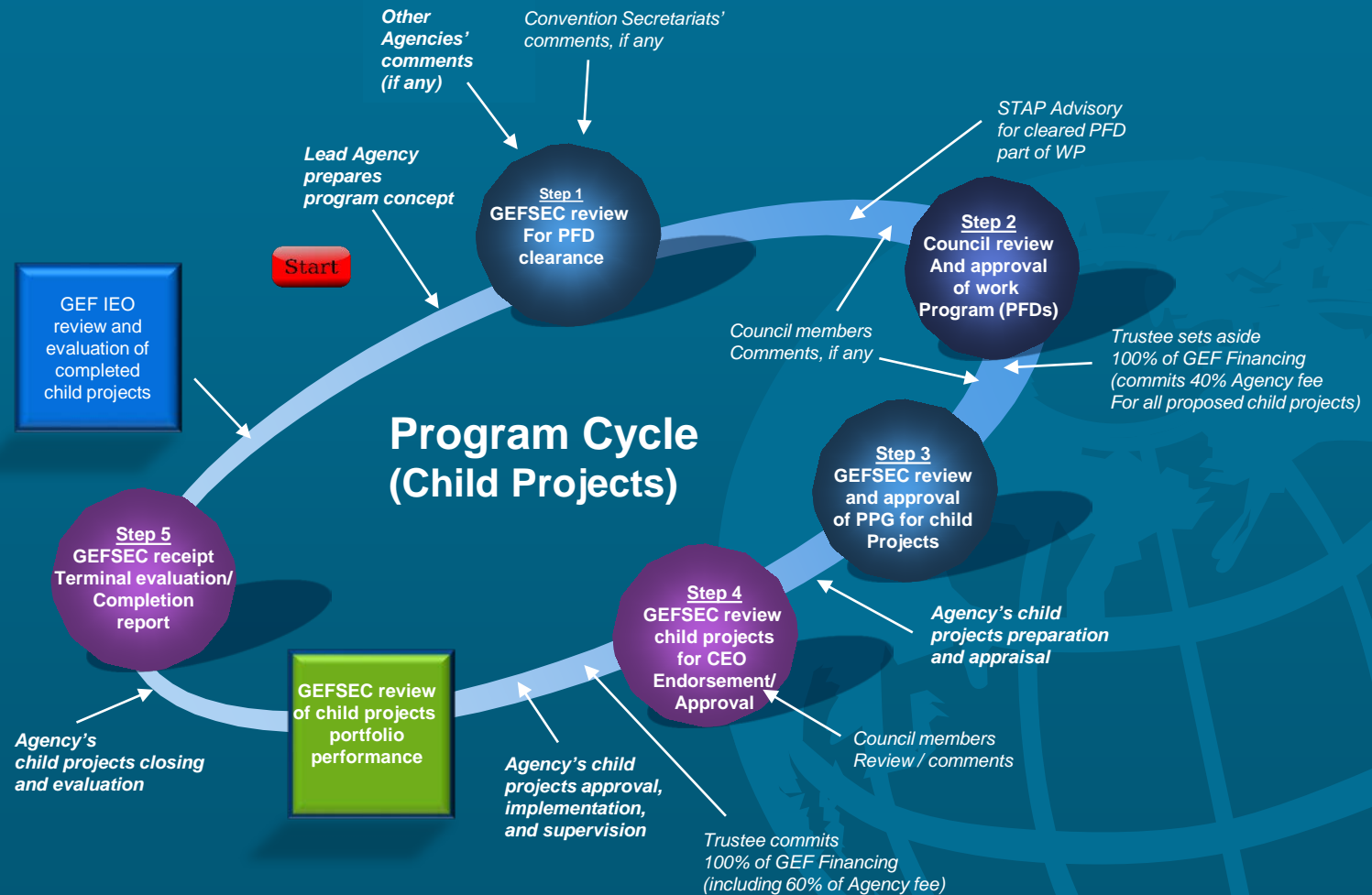
Enabling Activity(1)



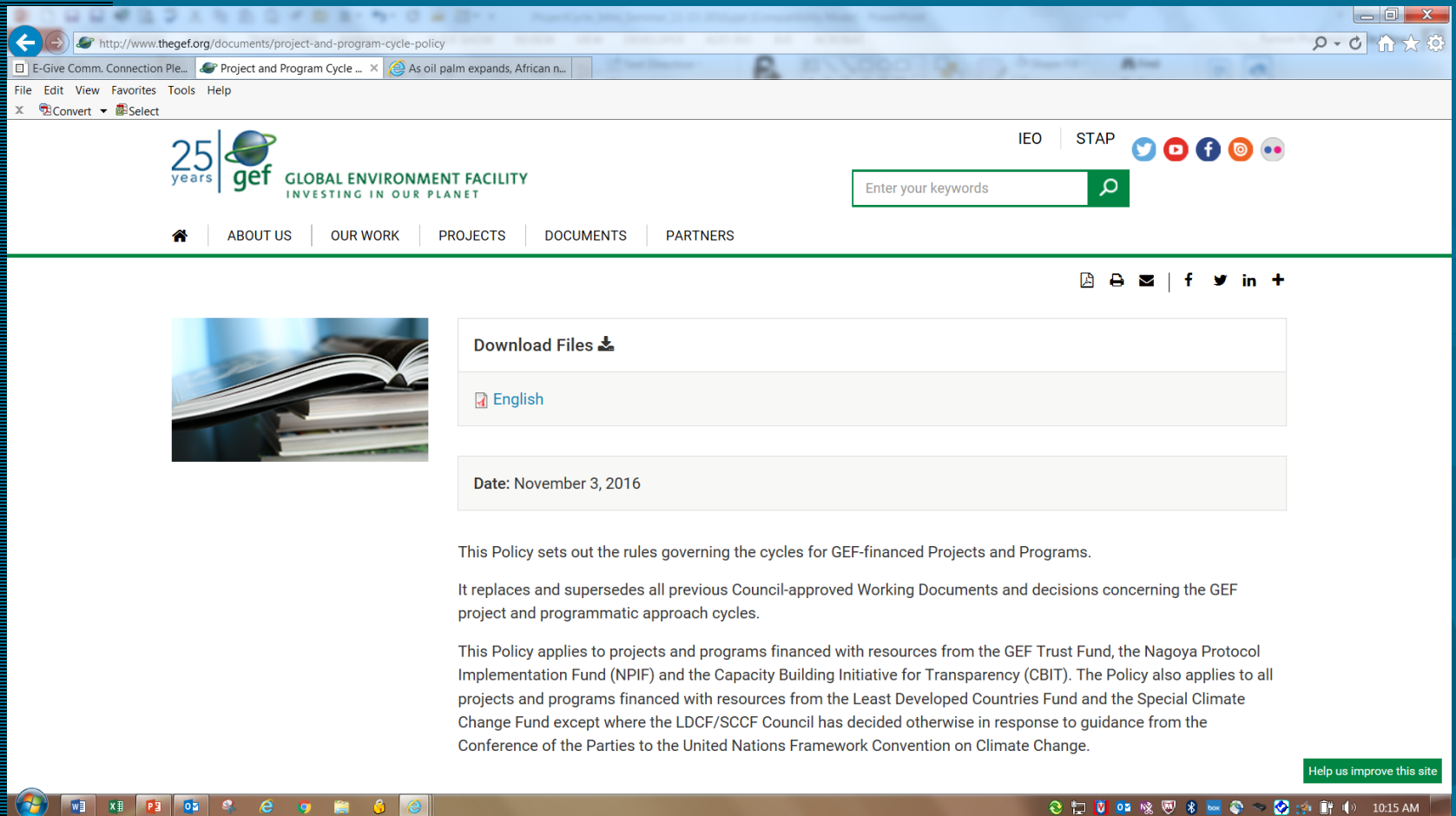
Enabling Activity(2)



Program



Project and Program Cycle Policy



The screenshot shows a web browser window displaying the GEF website. The address bar shows the URL: <http://www.thegef.org/documents/project-and-program-cycle-policy>. The page header includes the GEF logo (25 years, GLOBAL ENVIRONMENTAL FACILITY, INVESTING IN OUR PLANET) and a search bar with the text "Enter your keywords". The navigation menu includes links for ABOUT US, OUR WORK, PROJECTS, DOCUMENTS, and PARTNERS. The main content area features a large image of an open book, a "Download Files" button, a language selector set to "English", and a date stamp: "Date: November 3, 2016". The text of the policy is displayed below, stating that it sets out the rules governing the cycles for GEF-financed Projects and Programs, replaces and supersedes all previous Council-approved Working Documents and decisions concerning the GEF project and programmatic approach cycles, and applies to projects and programs financed with resources from the GEF Trust Fund, the Nagoya Protocol Implementation Fund (NPIF) and the Capacity Building Initiative for Transparency (CBIT). The policy also applies to all projects and programs financed with resources from the Least Developed Countries Fund and the Special Climate Change Fund except where the LDCF/SCCF Council has decided otherwise in response to guidance from the Conference of the Parties to the United Nations Framework Convention on Climate Change.

http://www.thegef.org/sites/default/files/documents/Project_Program_Cycle_Policy_OPPL01.pdf

http://www.thegef.org/sites/default/files/documents/Project_Program_Cycle_Policy_OPPL01.pdf

Policy Guidelines Under Preparation (1)

- Project Management Cost
- Project Monitoring and Evaluation Budget
- Project Implementation vs Execution Roles
- Project Preparation Grants
- Use of GEF Agency Fees
- OFP Endorsement Letter
- Program Framework Document Resubmission
- Addressing Council Comments

Policy Guidelines Under Preparation (2)

- Enabling Activities under Umbrella Projects
- Multi-focal Area Projects Implementation Procedures
- Reflows from Non-grant Instruments (NGIs)
- Reporting Requirements under RBM
- STAP Reviews of PIFs
- Project/Program Evaluation
- Project Amendments
- Project Cancellations/Dropping
- Project Transfer from One Agency to Another

Templates

[All](#) | [Council Documents](#) | [Work Programs](#) | [Policies](#) | [Publications](#) | [Templates](#)

<http://www.thegef.org/documents/templates>

Fee Policy



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Fee Policy - Objectives

Establishes the **fee structure** for all GEF Agencies implementing the GEF projects.

Clarifies the **type of activities** covered by the Agency fee.

This policy applies to **all Agencies**, including the **eight recently accredited** through the Pilot Program on Accrediting GEF Agencies.



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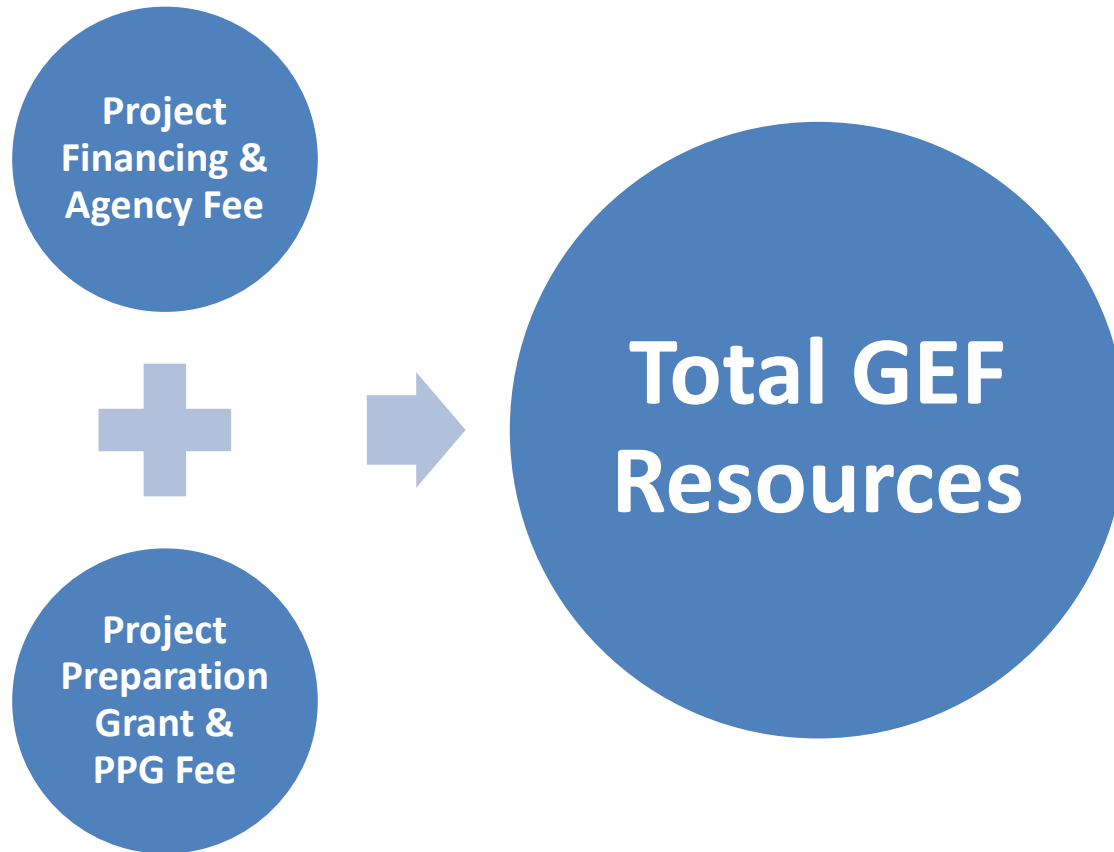


Fee Policy - Definitions

- **Project Financing:** resources provided to countries through a GEF Agency to implement the project's activities.
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GEF Agencies

10 GEF Agencies	8 accredited GEF Agencies
<ul style="list-style-type: none">• AfDB• ADB• EBRD• FAO• IADB• IFAD• UNDP• UNEP• UNIDO• WB	<ul style="list-style-type: none">• BOAD• CI• CAF• DBSA• FECO• FUNBIO• IUCN• WWF-US



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Fee structure

Type of project (modalities)	Project Financing	Applicable for	Fee level
PA, FSP, MSP, EA	less \$ 10 million	GEF Agencies (10)	9.50%
PA, FSP, MSP	more \$ 10 million	GEF Agencies (10)	9.00%
PA, FSP, MSP, EA	Any amount	GEF recently Accredited Agencies (8)	9.00%
PPG	Follow the same rate applicable for the related project financing		



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Fee Policy – Covered activities

The fees cover both: (i) **project cycle management services**; and (ii) participation in **GEF corporate activities**.



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Fee Policy – Project cycle management services

Project Cycle Management Services embrace the collective management of all projects within a respective GEF Agency's portfolio and includes all activities throughout the project cycle, i.e. concept development, preparation and appraisal, implementation, completion, and evaluation.



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Fee Policy – Corporate Activities

- Corporate activities include all related GEF activities that help promote the efficiency of the GEF including, among others, policy support, outreach and knowledge sharing, support to the GEF Independent Evaluation Office, and institutional relations.
- The **8 GEF recently accredited Agencies are not obligated** (but encouraged) **to participate in GEF corporate activities**



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Project Cancellation Policy



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Project Cancellation Policy

- This Policy aims to **improve the GEF's operational efficiency**, particularly in terms of the amount of time it takes to prepare and deliver projects
- This Policy sets out **principles, rules, and procedures** to **cancel or suspend projects/programs** at different stages in the GEF project cycle.



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Project Cancellation Policy

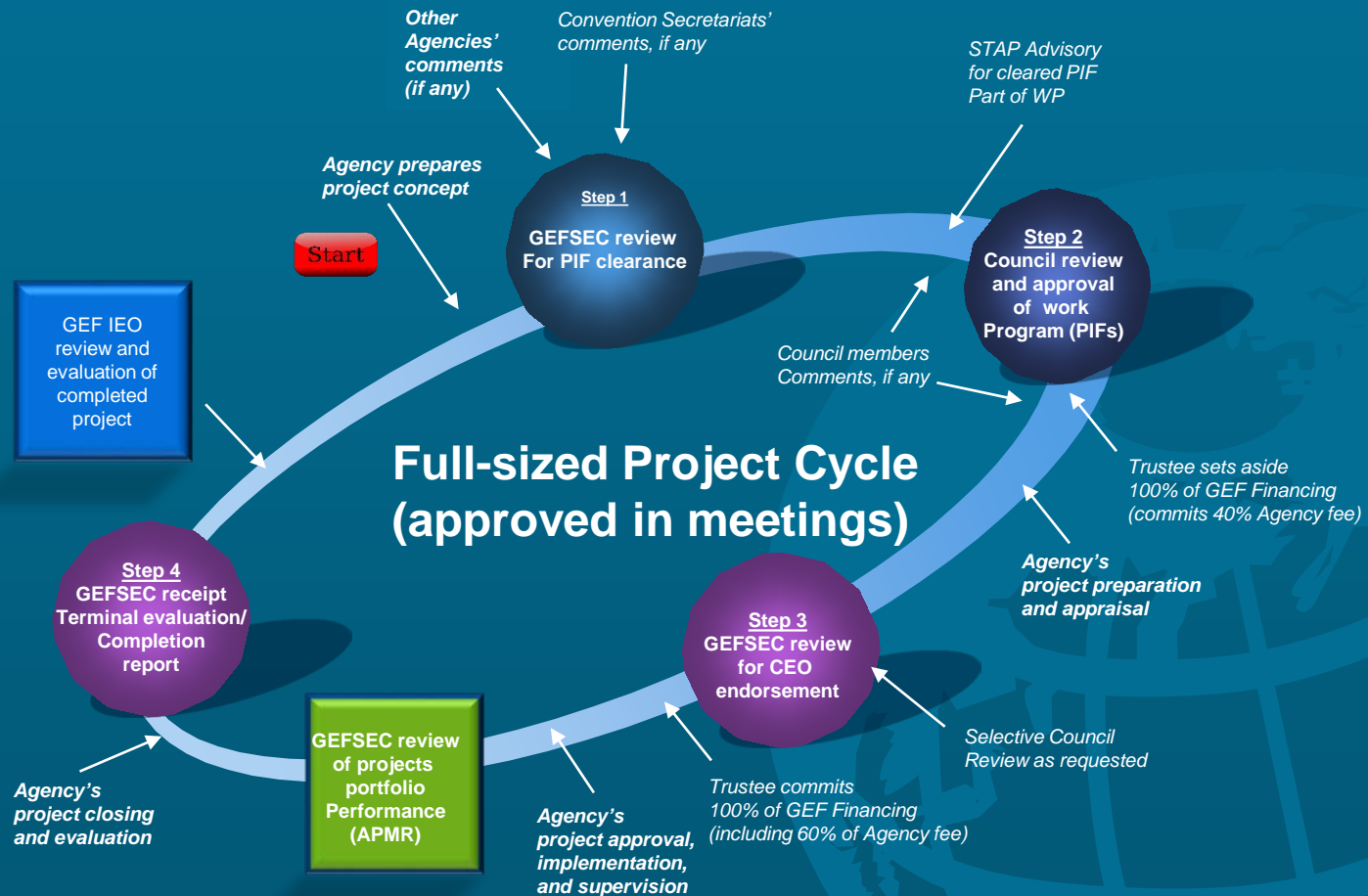
- **Applicability:**

- This Policy applies to Full-sized Projects and Programs whose PIFs or PFDs have been approved by the Council in all Work Programs (including intersessional) since October 2014 (GEF-6).
- It also applies to MSPs whose PIFs have been approved by the GEF CEO after June 4, 2015.



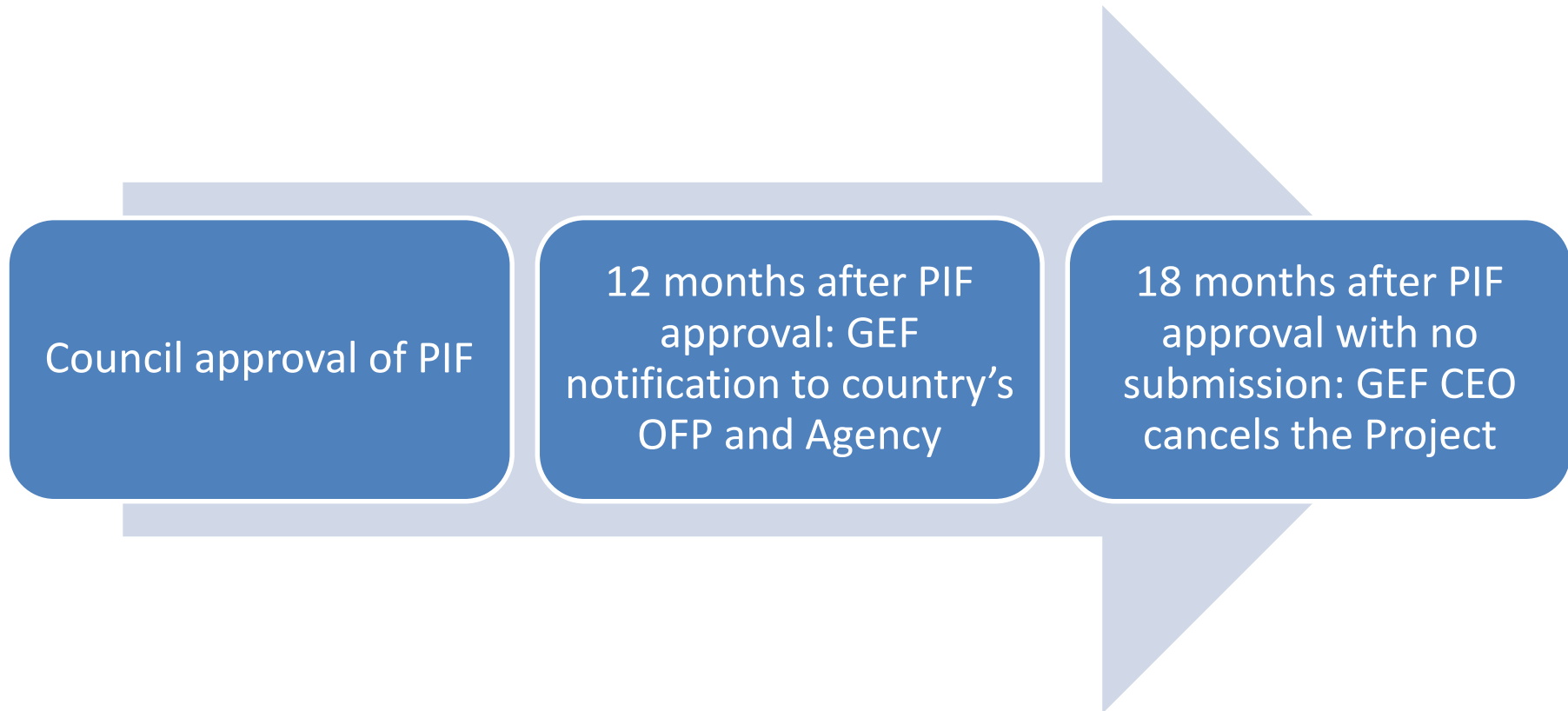
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Full-sized Project



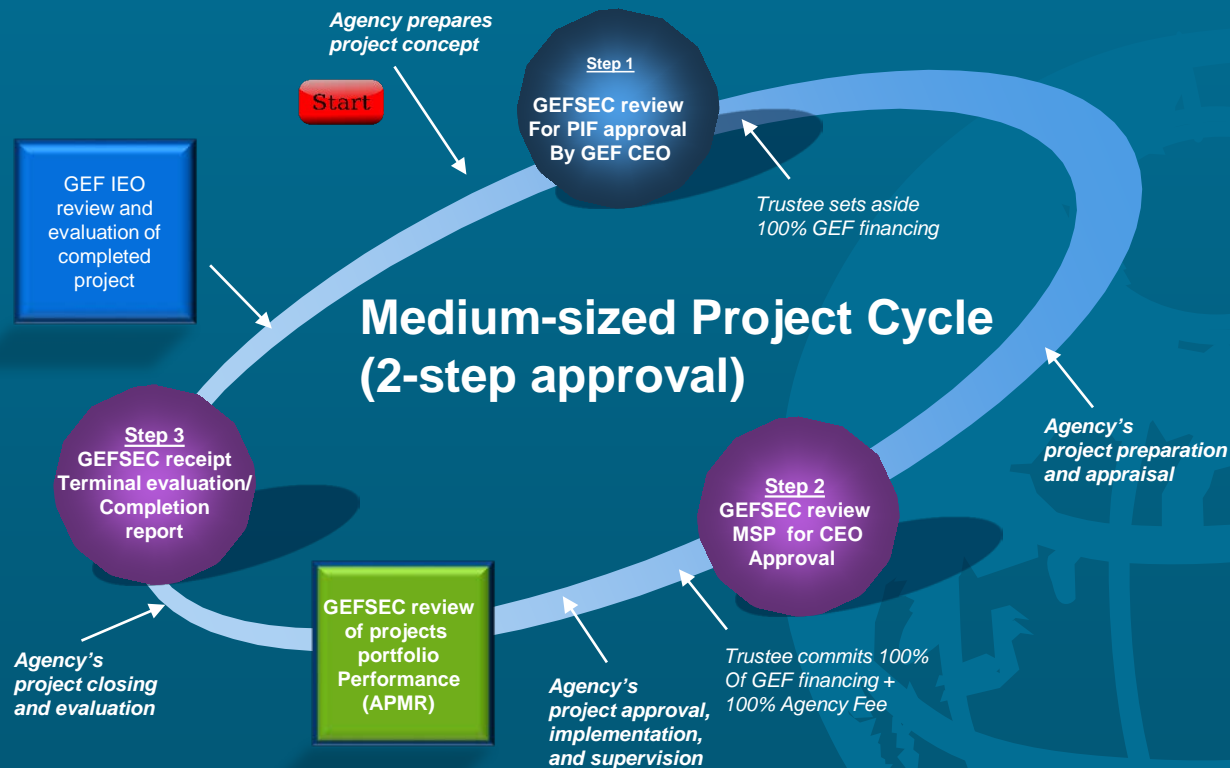


Project Cancellation Policy – Prior to CEO endorsement of FSP



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Medium-sized Project (1)





Project Cancellation Policy – Prior to CEO approval of MSP



CEO approval of
MSP PIF

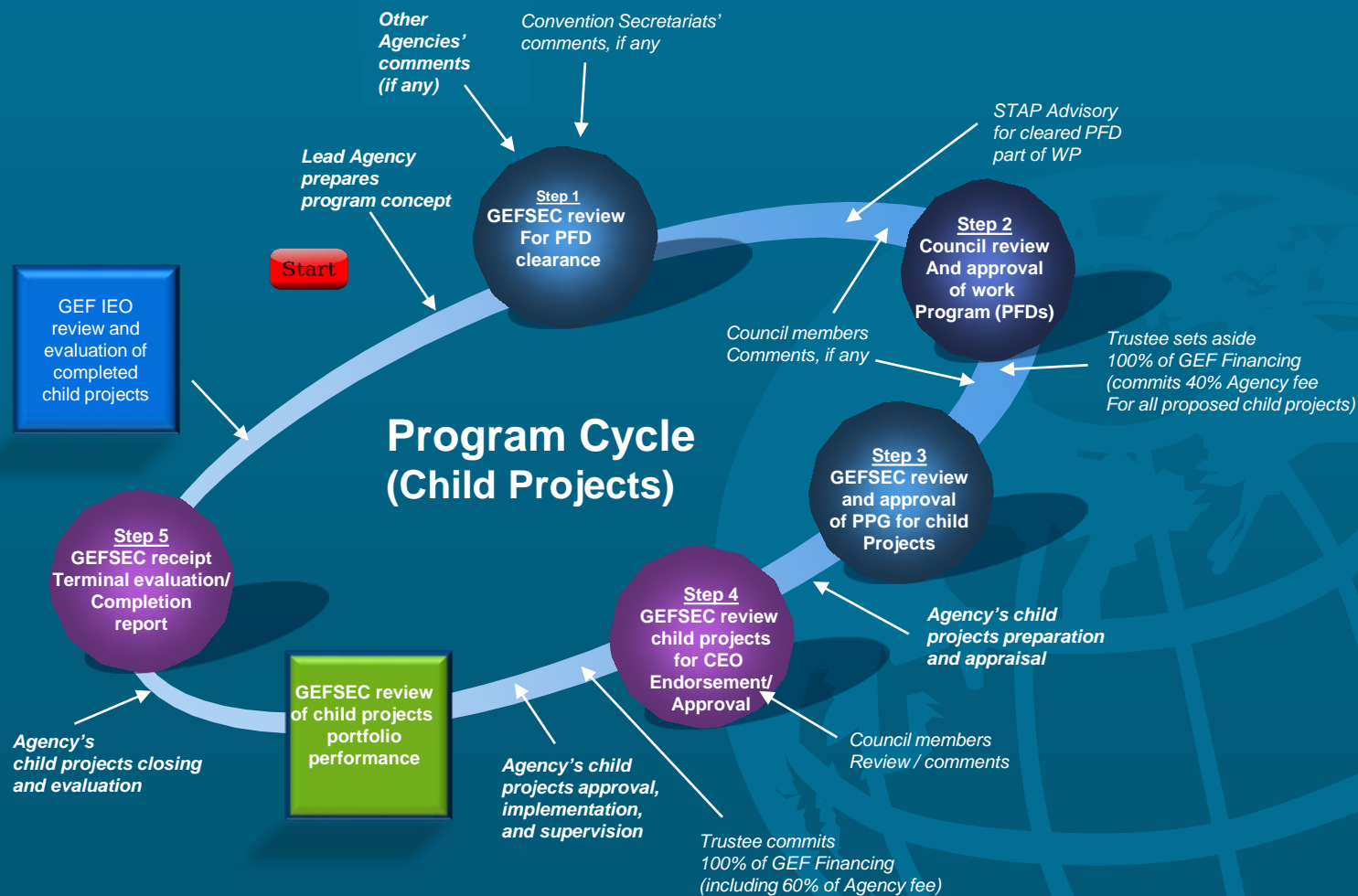
6 months after PIF
approval: GEF
notification to
country's OFP and
Agency

12 months after PIF
approval with no
submission: GEF
CEO cancels the
project



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Program





Project Cancellation Policy – for Programs

Council approval of PFD

6 months before PFD
Commitment Deadline:
GEF notification to
country's OFP and the
Lead Agency

After PFD Commitment
Deadline: GEF CEO
cancels child projects.



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Project Cancellation Policy – other cases

- The **recipient country** and the **GEF Agency** may also request to cancel or suspend a project – the GEF CEO may authorize such cancellation or suspension due to reasons not linked to the 12-18 month target.

Before CEO endorsement/approval, a project may be cancelled.

After CEO endorsement/approval, a project may be terminated or suspended in accordance with the Agency's policies and procedures.



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Project Cancellation Policy – Procedures

- GEF Agency **consults with the recipient country**, all relevant **government agencies**, and **other partners**, including co-financiers, prior to such cancellation or suspension.
- Required actions by Agency:
 - i. written proof of consultation with the recipient country;
 - ii. notification to the GEF Secretariat and the Trustee; and
 - iii. returns any un-used GEF funds consistent with the provisions of Financial Procedures Agreement with the Trustee regarding the return of funds.



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Thank you!



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